

REQUEST FOR CHANGE REPORT
SEPTEMBER 2007

	Date Received	Solicitation Number	Prime Contractor	Project Description	Add/Delete/ Scope Change/ Substitution- A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Action Date	Approved Y/N	Notes
1	9/5/2007	PWC00032	BRH-GARVER CONSTRUCTION LP	AWU-WALNUT CRK SVC TO ANNEXED AREA PROJ.-B	Substitution-Add	JA ROAD BORING	Y	N/A	\$ 41,250.00	BORING	PW	TINA VAN WIE	N	9/14/2007	Y	2nd Level subcontractor to 1st level subcontractor Texas Road & Utilities. (Emergency Request)
1a	9/5/2007	PWC00032	BRH-GARVER CONSTRUCTION LP	AWU-WALNUT CRK SVC TO ANNEXED AREA PROJ.-B	Substitution-Delete	TEXAS ROAD & UTILITIES	Y	NA	\$ (41,250.00)	BORING	PW	TINA VAN WIE	N	9/14/2007	Y	Texas Road & Utilities to busy on other portion of project. Releasing this scope of work helped speed up completion of project.
2	9/10/2007	PW04300023	CAMP DRESSER & MCKEE	FM 812 LANDFILL LEACHATE IMPROVEMENTS (EROSION CONTROL)	Add	COMPUGRAPHICS	Y	N/A	\$ 6,600.00	COPYING SERVICES	PW	LOUIS LINDSEY	N	9/13/2007	Y	Certified firm added to project.
3	9/11/2007	PW0620008	SOUTHWEST CORPORATION	LITTLE WALNUT CREEK STATION	Add	VICKING FENCE	Y	N/A	\$ 2,296.00	Fencing	PW	CYNTHIA JORDAN	Y	9/13/2007	Y	Certified firm added to project.
4	9/12/2007	MSO0004	SECURITY DESIGN, INC. dba INTEGRATED SYSTEMS, INC.	ABIA SECURITY SYSTEM UPDATE	Add	FAIRWAY SUPPLY INC	N	N/A	\$ 226,567.11	PROVIDE/INSTALL CYBER-KEY/INTELLI-KEY SYSTEM	PW	ALLISON DIETZEL	N	9/21/2007	N	Documentation was not received to justify request.
5	9/12/2007	MSO0004	SECURITY DESIGN, INC. dba INTEGRATED SYSTEMS, INC.	ABIA SECURITY SYSTEM UPDATE	Add	CALENCE	N	N/A	\$ 1,284,952.10	PROVIDE & INSTALL SECURITY NETWORK EQUIPMENT	PW	ALLISON DIETZEL	N	9/21/2007	N	Documentation was not received to justify request.
6	9/12/2007	PW6200018	SOUTHWEST CORPORATION	MANCHACA ROAD BRANCH LIBRARY	Add	MOORE CONTRACTING	N	N/A	\$ 5,230.00	CUSTOM CABINETRY & COUNTERTOPS	PW	ARMANDO CUBRIEL	N	9/14/2007	Y	Provided GFE documentation.
7	9/12/2007	PW04300007	MENDOZA ARCHITECT, INC.	ROOFING AND WATERPROOFING CONSULTANT SERVICES ROTATION LIST	Add	PE STRUCTURAL CONSULTANTS INC	Y	N/A	\$ 3,428.00	STRUCTURAL ENGINEERING DESIGN & ANALYSIS	PW	DAVID SMYTHE	N	9/14/2007	Y	Adding certified firm.
8	9/13/2007	PW06300003	BROWN & CALDWELL	SOUTHEAST WASTEWATER IMPROVEMENTS	Add	HVJ ASSOCIATES INC.	Y	N/A	\$ 10,000.00	TRAFFIC CONTROL PLAN PREPARATION	PW	PHIL SALYER	N	9/14/2007	Y	Adding certified firm.
9	9/14/2007	PW06200016	PRISM DEVELOPMENT	BOGGY CREEK IMPROVEMENTS	Add	FUQUAY	Y	N/A	\$ 400.00	Hydromulch	PW	ROBERT BRENNES	Y	9/18/2007	Y	Provided GFE documentation.
10	9/17/2007	PW06200067	FACILITIES REHABILITATION	GOVALLE EAST 4TH ST WW IMPROVEMENTS	Add	ANN WILSON CONSTRUCTION	Y	N/A	\$ 18,570.75	Milling and paving asphalt patch designated as unacceptable by the City.	PW	LARRY MENDEZ	N	9/19/2007	Y	Prime had to pass inspection of acceptable work before receiving final payment. This subcontractor was available to do the work.
11	9/18/2007	PW06300003	ROMA DESIGN GROUP	DOWNTOWN AUSTIN PLAN	Delete	WHM TRANSPORTATION ENGINEERING	N	N/A	Sub out of business before contract negotiations	Traffic and Transportation Engineering	PW	Jim Robertson	N	9/20/2007	Y	MBE/WBE goals achieved
12	9/18/2007	PW06300003	ROMA DESIGN GROUP	DOWNTOWN AUSTIN PLAN	Add	HDR ENGINEERING	N	N/A	\$3,000.00	Urban Planning & Traffic Transportaion	PW	Jim Robertson	N	9/20/2007	Y	MBE/WBE goals achieved
13	9/18/2007	PW06300003	ROMA DESIGN GROUP	DOWNTOWN AUSTIN PLAN	Add	TATE AUSTIN	N	N/A	\$10,000.00	Advertising Services	PW	Jim Robertson	N	9/20/2007	Y	MBE/WBE goals achieved
14	9/18/2007	PW06300003	ROMA DESIGN GROUP	DOWNTOWN AUSTIN PLAN	Add	CAPITOL MARKET RESEARCH	N	N/A	\$20,000.00	Finance and Economic Consulting	PW	Jim Robertson	N	9/20/2007	Y	MBE/WBE goals achieved
15	9/24/2007	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	C D LONESTAR, INC	N	N/A	\$ 44,280.00	Install Stone on Building	PW	CYNTHIA JORDAN	Y	10/9/2007	Y	Provided GFE documentation.
16	9/24/2007	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	P H I SERVICE AGENCY, INC.	N	N/A	\$ 4,160.00	Air Balance HVAC	PW	CYNTHIA JORDAN	Y	10/9/2007	Y	Provided GFE documentation.
17	9/24/2007	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Substitution-Delete	ABSOLUTE STUCCO, TEJAS CONTRACTORS INC	Y	N/A	\$ 31,980.00	Exterior Plaster only	PW	CYNTHIA JORDAN	Y	10/1/2007	Y	Decided not to perform that scope of work
17a	9/24/2007	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Substitution-Add	MISSION PLASTERING	N	N/A	\$ 50,500.00	Interior/Exterior Plaster	PW	CYNTHIA JORDAN	Y	10/1/2007	Y	Provided GFE documentation.

REQUEST FOR CHANGE REPORT
SEPTEMBER 2007

	Date Received	Solicitation Number	Prime Contractor	Project Description	Add/Delete/ Scope Change/ Substitution- A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Action Date	Approved Y/N	Notes
18	9/26/2007	PW04300002	DANNENBAUM ENGENIEERING CORPORATION	GENERAL WATERSHED ENGENERING SERVICES ROTATION LIST	Add	BIERLEY ASSOCIATES,LLC	N	N/A	\$ 38,052.00	TUNNEL ENGINEERING	PW	GLEN TAFFINDER	Y	10/3/2007	N	Firm not meeting Hispanic goal. Needed to solicit for new scope-tunnel engineering.
19	9/26/2007	PW06200029	MUNIZ CONCRETE & CONTRACTING INC	LANCE ARMSTRONG BIKEWAY PROJECT	Add	TEXAS CONCRETE MATERIALS	N	N/A	\$ 35,427.00	360 Concrete	PW	DARRYL HABA	Y	9/28/2007	Y	Provided GFE documentation.
20	9/26/2007	PW06200029	MUNIZ CONCRETE & CONTRACTING INC	LANCE ARMSTRONG BIKEWAY PROJECT	Add	CENTEX MATERIALS	N	N/A	\$ 49,560.00	Class A and Class C Concrete	PW	DARRYL HABA	Y	10/4/2007	Y	Provided GFE documentation.
21	9/26/2007	MSO0004	SECURITY DESIGN, INC. dba INTEGRATED SYSTEMS, INC.	ABIA SECURITY SYSTEM UPDATE	Add	FAIRWAY SUPPLY INC	N	N/A	\$ 226,567.11	PROVIDE/INSTALL CYBER-KEY/INTELLI-KEY SYSTEM	PW	ALLISON DIETZEL	N	10/1/2007	Y	Documentation was received that justified request for addition.
22	9/26/2007	MSO0004	SECURITY DESIGN, INC. dba INTEGRATED SYSTEMS, INC.	ABIA SECURITY SYSTEM UPDATE	Add	CALENCE	N	N/A	\$ 1,284,952.10	PROVIDE & INSTALL SECURITY NETWORK EQUIPMENT	PW	ALLISON DIETZEL	N	10/1/2007	Y	Documentation was received that justified request for addition.
23	9/27/2007	PWC0052A	MCLEAN CONSTRUCTION	ACWP-GOVALLE 5 WEST 29TH-BOWMAN AVE	Add	AUSTEX CONCRETE CONSTRUCTION	Y	N/A	\$ 11,305.00	98888- Tree Trimming & 96888- Tree Removal & 98838- Hydro mulch	PW	Don Willhouse P.E.	N	10/2/2007	Y	Project needed to add an aborist & tree services. Prime added a certified MBE firm.
24	9/28/2007	PW06200029	MUNIZ CONCRETE & CONTRACTING INC	LANCE ARMSTRONG BIKEWAY PROJECT	Substitution-Delete	MCKINNEY DRILLING	N	N/A	\$ 39,000.00	Drill Shafts for Bridge	PW	DARRYL HABA	Y	10/4/2007	Y	Too Busy Right Now
24a	9/28/2007	PW06200029	MUNIZ CONCRETE & CONTRACTING INC	LANCE ARMSTRONG BIKEWAY PROJECT	Substitution-Add	HERZOG FOUNDATION DRILLING	N	N/A	\$ 16,000.00	Drill Shafts for Bridge	PW	DARRYL HABA	Y	10/4/2007	Y	Provided GFE documentation.

Request for Change Summary for September 2007				
	Additions	Deletions	*Substitutions	Scope Changes
	20	1	3	0
	20	1	3	0
Certified	7	0	2 (Deleted)/1 (Added)	0
Non-Certified	13	1	1 (Deleted)/2 (Added)	0

Sub-contractors or sub-consultants may be added to a contract for the following reasons	
A	There are no MBE or WBE firms certified for the scope of work at the time of the request
B	To increase MBE or WBE participation
C	Change in contract amount
D	Solicitation goals are being met
E	Pricing from Certified firms was not competitive or did not respond to solicitation to submit pricing
F	Specification requirements or prior work with the project
G	Like kind

Reasons for denying a Request for Change of Compliance Plan

H	Good Faith Efforts documentation was not included with request or failed to complete good faith efforts requirements
I	Sub-contractor or sub-consultant was added to contract without DSMBRs prior written authorization

Substitutions of sub-contractors or sub-consultants shall be permitted only on the following bases

- 1 unavailability after receipt of reasonable notice to proceed
- 2 failure of performance
- 3 financial incapacity
- 4 refusal by the subcontractor or sub-consultant to honor bid or proposal price
- 5 mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed
- 6 failure of the sub-contractor or sub-consultant to meet insurance, licensing or bonding requirements
- 7 the subcontractor's or sub-consultant's withdrawal of its bid or proposal